

Audit findings

Date (month/year): 09 & 10 Sep 2014

Organisation <27475 (Rex)>

n NC), Area of Concern (AOC), Opportunity For Improvement (OFI), Strong Point (SP), conformity evidence (Note)

) or continued (>>)

Type	N°	Description	Site concerned	Q 9001	S 1800 1	E 14001
AOC	1	Actions / Solution when not achieving objectives and target, for example, electricity consumption in canteen, shall be recorded.	Management Board/EMR			4.5.3 Nonconformity, corrective action and
AOC	2	List of legal and other requirements updated 15/01/2014 lacks of update new version TCVN 5507:2002 (dangerous chemical), QCVN 05:2013/BTNMT (Ambient Air Quality), Chemical Safety Law QH11/2007.	Management Board/EMR			4.3.2 Legal and other requirements
Min NC	1	Procedure of management and evaluation the compliance with legal and other requirements, PYCPL.DOC , version 2005.04, dated 01/09/2005, section IV.4, requires Evaluation of compliance with legal and other requirements to be done once per 03 months. Currently, this activity is done 6 -monthly which is not compliant with above procedure.	Management Board/EMR			4.5.2 Evaluation of compliance
AOC	3	Refer to Circular 12/2011/TT/BTNMT. Supplier license no. 5-6-7-8-007.V, dated 20/8/2010 and 5-6-7-8-007.X, dated 20/8/2010. The authorized scope for hazardous waste treatment supplier (Moi trung xanh) does not include waste 16 01 12.	Management Board/EMR			4.3.2 Legal and other requirements
Min NC	2	REfer to Internal Audit in in 10, 11/10/2013: -The findings raising from internal audit (not conducting Training on Environment system) were not recorded in Communication and Nonconformity as specified in Internal Audit Procedure PDGEMS.DOC, version 2006.04 , dated 01/09/2006, section IV.4.3 - There is no record of corrective actions taken and verified as required by Procedure of Non conformity and Corrective / Preventive action PNCCAPA, version 2005.03, dated 01/09/2005, section IV.2	Management Board/EMR			4.5.3 Nonconformity, corrective action and preventive action
AOC	4	The record of 'Check sheet" (Phiếu kiểm tra) should be filled when conducting monthly check as required by Cemical handling control procedure PQLHC.DOC, version 2005.04, dated 01/09/2005, section V.5	Management Board/EMR			4.5.4 Control of records
AOC	5	Maintenance Plan for Gaz (LPG) supply system requires once per six months. In fact, the maintenance records show the maintenance was done in 15/4/2013 and 15/04/2014 (> one year). The records from maintenance contractor showed the maintenance was done in 15/03, 15/09/2013 and 01/04/2014	Tech. Dept			4.4.6 Operational control
Min NC	3	There is no record of practice for Fire fighting and rescue (date of approval by Fire fighting and preventing police dept.) at least once a year as required by Decree 35/2003/ND-CP, article 22.5a).	Security & Fire Fighting			4.4.7 Emergency preparedness and response
AOC	6	At elevator F7, B2, the fire extinguisher No. 109 is missing and replaced by No. 126. The should have fire extinguisher at Hazardous waste store area.	Security & Fire Fighting			4.4.7 Emergency preparedness and response
AOC	7	The evidence to show DO tank and store compliant with requirement for prevention oil spill shall be available	Security & Fire Fighting			4.4.7 Emergency preparedness and response